Hierarchical Self-Assembly of Cellulose Nanocrystals in a Confined Geometry

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Supporting Information

ABSTRACT: Complex hierarchical architectures are ubiquitous in nature. By designing and controlling the interaction between elementary building blocks, nature is able to optimize a large variety of materials with multiple functionalities.1−3 Such control is, however, extremely challenging in man-made materials, due to the difficulties in controlling their interaction at different length scales simultaneously. Here, hierarchical cholesteric architectures are obtained by the self-assembly of cellulose nanocrystals within shrinking, micron-sized aqueous droplets. This confined, spherical geometry drastically affects the colloidal self-assembly process, resulting in concentric ordering within the droplet, as confirmed by simulation. This provides a quantitative tool to study the interactions of cellulose nanocrystals beyond what has been achieved in a planar geometry. Our developed methodology allows us to fabricate truly hierarchical solid-state architectures from the nanometer to the macroscopic scale using a renewable and sustainable biopolymer.

KEYWORDS: liquid crystals, microfluidics, colloidal self-assembly, cellulose nanocrystals, hierarchical architecture

Designing and controlling the interaction of elementary building blocks into complex hierarchical architectures enables nature to optimize a large variety of materials with multiple functionalities.1−3 Within the fascinating variety of designs and materials, helicoidal architectures are a recurring motif in nature,4−6 yet little is known about the natural assembly process.7 Consequently, the ability to fabricate biomimetic replicas is fundamentally important not only to elucidate the design principles that underlie the evolution of complex hierarchical structures in nature but also to inspire the development of materials where their functionalities are encoded in the building blocks that compose them.8−10 However, control across a diversity of length scales ranging from nanometers to several hundreds of microns is extremely challenging in man-made materials.11

Here, inspired by the helicoidal architectures found in the plant cell wall,12 we study the assembly of cholesteric architectures of cellulose nanocrystals (CNCs) confined within monodisperse micron-sized droplets, generated via droplet-based microfluidics.13,14 The self-assembly process is monitored with polarized optical microscopy, and the effect of the initial aqueous CNC concentration on the resultant self-assembled architecture is studied. This system not only allows for the localized, quantitative investigation of the complex dynamic interaction of CNCs in suspension but also offers a pathway to obtain highly hierarchical structures in a confined geometry from the nanometer to the macroscopic scale, using a renewable and sustainable biopolymer.

Exploitation of cellulose has existed since the beginning of civilization, from clothes and paper to use as a construction material,17 yet over the last two decades, it has attracted a growing interest due to its abundance and versatility when processed on the nanoscale in the form of cellulose nanocrystals.18 By strong acid hydrolysis, CNC can be extracted from a variety of natural sources, producing stable aqueous suspensions that exhibit cholesteric liquid-crystalline behavior at higher concentrations.19 The evaporation of CNC suspensions on a flat substrate can result in the formation of a solid film with a periodic chiral structure that can reflect visible light.20 Traditionally, the self-assembly of such colloidal liquid-crystal systems has been studied in planar geometries,21−23 offering a large variety of applications, for example, in pressure or temperature sensors.24,25 However, more recently, there has been increasing study into the effects of topological constraints.26 Of particular interest is the spherical geometry, where the curvature imposed, for example, by the interface of an emulsified droplet leads to frustrated liquid-crystalline self-organization.27 This spherical topology, either as a droplet or as a thin shell of liquid, has been shown to give rise to peculiar phenomena, with potential applications in actuators or lasers.28,29

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The study of the self-assembly of CNCs in a microdroplet enables (i) the reproducible measurement of the cholesteric pitch at concentrations that are inaccessible with traditional pitch diagrams due to kinetic arrest, and (ii) monitoring of the self-assembly process over a few hours, instead of several days or weeks, thereby reducing errors induced by desulfation of the CNC.31

RESULTS AND DISCUSSION

An aqueous suspension of cellulose nanocrystals (Figure S1, Supporting Information) was prepared as described in the Experimental Methods. To characterize the lyotropic properties of this suspension, it was diluted to give a series of CNC concentrations from 14.5 to 4.7 wt %, and the proportion of anisotropic phase was evaluated at each concentration (Figure 1a). This enabled the construction of a traditional phase diagram, as shown in Figure 1b. This phase diagram allows for determination of the critical values of CNC concentration for this specific suspension at which the transition from the isotropic to the anisotropic phase occurs.

In order to understand the impact of geometrical confinement, it was necessary to study how the initial concentration of the CNC suspension affected self-assembly within a microscale droplet. Microdroplets were generated in a single step as an aqueous emulsion in hexadecane oil within a polydimethylsiloxane (PDMS) flow-focusing microfluidic device, as described in the Experimental Methods. At the flow-focusing junction, the aqueous CNC suspension intersected perpendicularly with flows of hexadecane oil, resulting in segmentation into monodisperse microdroplets (coefficient of variation <2%), with a diameter defined by the geometry of the flow focus, and the relative flow rates and viscosities of the immiscible solutions. Once formed, the droplets were collected via microbore tubing onto a fluorophilic substrate for further study. It should be noted that the aqueous droplets are denser than the surrounding oil and, as such, settle onto the surface of the fluorophilic substrate rather than at the air–oil interface (Figure S2, Supporting Information). The presence of this oil layer slows the loss of water from the droplets, allowing them to be studied over time scales from hours to days.

Microdroplets with a typical diameter of 140 μm were prepared from a series of CNC concentrations across the phase transition, as indicated by the colored circles in Figure 1b. In all cases, the optical anisotropy of the suspension allowed for the ordering of CNC domains to be visualized during droplet formation by polarized optical microscopy, as described in the Experimental Methods. This is exemplified with a 14.5 wt % CNC suspension, as denoted by the red circle in the phase diagram (Figure 1b). Here, the generation of an interface between the two fluids at the neck of the flow focus resulted in an immediate radial ordering of the CNCs within the microdroplet, giving rise to a pronounced Maltese cross-like pattern when imaged under cross-polarizers (Figure 1c; see Video S1 and Video S2). However, this arrangement is rapidly disrupted by chaotic advection induced within the microdroplet by shearing interactions with its surroundings as it travels along the microfluidic channel. At such a high concentration, the suspension is highly viscous and is almost entirely in a liquid-crystalline phase. For this reason, the shear experienced by the cholesteric phase inside the droplet induces many topological defects. This droplet consequently remains trapped in this disordered anisotropic state without any particular reordering of the structure. Upon subsequent removal of water (by diffusion into the oil) and corresponding concentration of the confined suspension, this arrangement is preserved (Figure S3, Supporting Information). A similar trend is observed for a lower concentration of 10.9 wt % (blue circle on Figure 1b). Here, despite microdroplets containing both isotropic and anisotropic phases, the relaxation toward a radial geometry was again not significantly observed after initial droplet formation (Figure S4, Supporting Information).
A markedly different assembly process is observed when the isotropic phase is dominant within the microdroplet, as is the case for 7.3 wt % suspension of CNCs (green circle in Figure 1b). As before, radial ordering of the liquid-crystalline structure is observed upon generation of the microfluidic droplets; however, mixing within the droplet as it flows along the channel results in microdroplets in a predominantly isotropic phase, containing clearly defined tactoids (Figure S5, Supporting Information). Upon the loss of water from the droplet the tactoids rearrange, resulting in the formation of an ordered chiral nematic shell, growing inward from the water−oil interface (Figure 2). Depending on the number and dimensions of the tactoids (which is influenced by the individual composition of each microdroplet), either a chiral nematic shell containing free tactoids or a radial order throughout the entire diameter of the droplet is obtained (Figure S6, Supporting Information). In the latter case, such ordering can be preserved until the onset of buckling during the final stages of water loss from the droplet (Figure S7, Supporting Information). We attribute buckling to the interplay between increasing Laplace pressure at lower radius of curvature and the resistance to isotropic compression of the solidified CNC shell of the microdroplet, which allows for loss of the remaining water content without laterally compressing the rigidified surface. The presence of the chiral nematic organization throughout the entire diameter of the droplet is confirmed by the numerically simulated pattern shown in Figure 2a (see Supporting Information for simulation details). In particular, the observation of concentric dark and bright circles corresponds to the fingerprint pattern of the cholesteric structure. The superimposed Maltese cross pattern is due to the isolines of the radial cholesteric helix axis aligned with the axes of the crossed polarizers, in agreement with a planar anchoring of the CNC local director with the droplet interface.

Figure 2. Comparison between (a) theoretical and (b) experimental images obtained from the confinement of a cholesteric CNC suspension within a spherical geometry, when viewed through cross-polarizers (top row) and upon addition of a first-order tint plate (bottom row). Upon loss of water, the Maltese cross is retained until the onset of buckling upon final drying.

Figure 3. (a) Evolution pitch diagram. The cholesteric pitch measured in the droplets (blue circles) is compared against a macroscale capillary measured by laser diffraction (red circles) and microscopy (red triangles). The pitch below 2 μm was not measured due to the optical resolution limit. Upon increasing CNC concentration, the measured cholesteric pitch in the droplets is initially consistent with the pitch measured in the capillary; however, for the case of confined suspensions, a transition at $c_g = 12\%$ v/v ($\sim 19$ wt %) is observed. Extrapolation of the $c^{-1}$ and $c^{-1/3}$ trend lines correlates with the pitch measured by scanning electron microscopy for a dry film and microparticle, respectively (diamonds). The capillary error bars correspond to the gradient in pitch observed as a function of position within the anisotropic phase, as shown in Figure S16, Supporting Information. (b) Schematics illustrating the effect on the helicoidal cholesteric structure upon three-dimensional contraction when confined within a sphere, as occurs after $c_g$ ($p \propto c^{-1/3}$, top), compared to unidirectional contraction in a planar geometry ($p \propto c^{-1}$, bottom).
Low viscosity and a homogeneous composition are expected to increase the proportion of droplets retaining the radial chiral nematic order, with the in situ formation of a chiral nematic phase expected to reduce the generation of shear-induced topological defects. This was confirmed with droplets solely containing an isotropic suspension of CNC (5.8 wt %, purple circle in Figure 1b), where the formation of independent tactoids within the volume of the droplet was no longer observed upon droplet shrinkage, with the isotropic–anisotropic phase transition instead initiated exclusively at the water–oil interface (Figure S8, Supporting Information). Furthermore, lower concentrations have been tested. However, for values lower than 4 wt %, the loss of water in ambient conditions leads only to a thin shell with transient cholesteric order. This shell is subsequently disrupted by buckling prior to the droplet core becoming sufficiently concentrated.

The observation of the microdroplet shrinkage process by polarized optical microscopy allowed for monitoring of the pitch evolution as a function of the water content. This particular configuration enables the construction of an “evolution pitch diagram” which depicts the behavior of the cholesteric phase from low concentration at the equilibrium toward the final dry state. The pitch (p) is measured as twice the periodicity of the fingerprint pattern observed between crossed polarizers, while the suspension concentration is calculated from the droplet diameter. Variation in the pitch measured across a single droplet was within experimental error, indicating that the CNC concentration was uniform throughout the shrinking droplet. Similarly, droplets with comparable CNC concentration displayed the same cholesteric pitch. The values of the pitch measured during the loss of water from the microdroplets are reported in Figure 3 and compared to the values of the pitch independently obtained from measurement in a glass capillary (see Experimental Methods). It is worth noting here that, for a given initial value of CNC concentration, the same trend in pitch is measured irrespective of the dimensions of the initial droplets (Figure S9, Supporting Information).

The essence of the self-assembly process of CNC in suspension is illustrated by Figure 3. At a low concentration of CNC in suspension, the cholesteric pitch observed both in the droplets and in the capillaries overlaps and appears to be inversely proportional to concentration, \[ p \propto c^{-1/3} \], as expected from Straley modeling of chiral nonflexible rods. The small discrepancy between the two pitch measurements above 9.7 wt % is attributed to the cholesteric monodomain within the droplets, as commented upon in the Supporting Information. In contrast, droplets prepared from \([\text{CNC}] = 10.9\) wt % remain trapped in a polydomain structure and, as such, more closely follow the capillary data (Figure S10).

Significantly, above a critical concentration denoted here as \( c_c \), a transition after which the pitch scales as \( p \propto c^{-1/3} \) is observed. This transition is attributed to the manifestation of the kinetic arrest, where the sample cannot relax. Indeed, such kinetic arrest is expected to take place at some point during shrinkage toward a fully dried sphere of self-assembled CNCs. The value of \( c_c \) for this specific suspension (12% v/v \( \approx 19 \) wt % in Figure 3) was found to be comparable between individual radially ordered cholesteric droplets, irrespective of the initial size, CNC concentration, or rate of water removal. The concentration at which this transition occurs has been discussed and addressed in the literature as a key factor in the understanding of the self-assembly of CNCs but remains challenging to assess. As the suspension gets kinetically trapped, the cholesteric structure cannot relax over time, but it still can be affected by the local shear experienced upon drying, and therefore, it is sensitive to any geometrical constraints. In this system, the spherical geometry leads to a three-dimensional contraction of the cholesteric structure, in agreement with the observed power law. This contrasts with the usual configuration where a film is cast onto a planar substrate, which leads to a unidirectional, vertical straining of the cholesteric structure and consequentially to a similar power law of \( p \propto c^{-1} \). For this reason, the spherical geometry allows for a clear discrimination of the transition upon kinetic arrest.

Finally, Figure 4 shows the morphology of CNC microparticles after the complete loss of water. For this experiment, smaller microdroplets (50 \( \mu \)m in diameter) are employed to minimize the effects of buckling on the particle structure. The chiral nematic nature can be maintained, evidenced by the clear helicoidal structure observed by SEM image reported in Figure 4d. Moreover, the value of the pitch measured directly from such images, closely matches the extrapolated confined pitch for a CNC concentration near 100%, as indicated by the dashed blue line in Figure 3. In contrast, casting a planar film \( \textit{via} \) slow evaporation using the same suspension (Figure S11, Supporting Information) results in a cholesteric pitch consistent with the standard power law behavior (dashed red line).

**CONCLUSIONS**

In conclusion, we have fabricated bioinspired hierarchical cellulose structures over multiple length scales by controlling the self-assembly process of cellulose nanocrystals in micron-sized droplets. The obtained droplets are sufficiently mono-disperse to allow for local study of the self-assembly of the CNC water suspension. It is further demonstrated that this
geometrical confinement leads to a drastic effect on the self-assembly of cellulose nanocrystals. This allows for the construction of an "evolution pitch diagram" that provides a tool to investigate the suspension behavior for a large range of concentrations. To our knowledge, this has not been reported so far, due to the fact that kinetic arrest usually leads to unreliable pitch values for high concentration.

**EXPERIMENTAL METHODS**

**Materials.** Hexadecane (99%) and Span 80 were purchased from Sigma-Aldrich and Flukey, respectively, and were used without further purification. The initial suspension of 14.5 wt% cellulose nanocrystals was prepared from filter paper, as described below, with subsequent formulations diluted with deionized water (Millipore Mill-Q gradient A10, resistivity >18 MΩ·cm).

**Instrumentation.** Microdroplets were imaged in transmission using a Vision Research Phantom Miro cx4-M fast camera, attached to an Olympus IX-71 inverted microscope (10–64× objectives). Polarized optical microscopy was performed in transmission with crossed polarizers. In order to indicate CNC orientation, a sensitive tactile tip (Olympus U-TPSIII) was additionally inserted between the crossed polarizers. SEM images were acquired using a Zeiss Leo Gemini 1530 VP system, working at 90° with respect to the electron beam. SEM samples were mounted on aluminum stubs using conductive carbon tape and, to minimize surface charging, sputtered with a 5–10 nm layer of Au/Pd (Emitech K550, I = 5 mA for 10 s).

The acceleration voltage used was 2.0 kV, and the working distance was 1–2 mm. Atomic force microscopy (AFM) images were acquired with an Agilent S500, collected in tapping mode (OTESPA-R3 tip) and at room temperature over a 25 μm² area. AFM samples were prepared by drop-casting 10 μL of a diluted CNC suspension on to poly((L-lysine)-functionalized mica. After deposition, the samples were rinsed with deionized water and dried under nitrogen flow.

**Cellulose Nanocrystal Suspension.** Cellulose nanocrystals were obtained from the hydrolysis of Whatman No. 1 cellulose filter paper (30 g) with sulfuric acid (64 wt%, 420 mL) at 64 °C for 30 min, before being quenched using Milli-Q ice and water. Soluble cellulose residues and acid were removed by centrifugation (three steps at 8,000 g for 20 min) and dialysis against deionized water (MWCO 12 kDa). The cholesteric pitch in the glass capillaries was then determined 0.8 g (30 g) with sulfuric acid (64 wt%, 420 mL) at 64 °C for 30 min, before being quenched using Milli-Q ice and water. Soluble cellulose residues and acid were removed by centrifugation (three steps at 8,000 g for 20 min) and dialysis against deionized water (MWCO 12 kDa). The cholesteric pitch in the glass capillaries was then determined.

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AFM of CNC rods, additional images of cholesteric droplets, evolution pitch diagrams, comparative SEM of cross sections of films and microdroplets prepared from the same suspension, comparison of pitch measurements by laser diffraction and optical microscopy, droplet size distribution and their drying rate; with supplementary discussions on the evaporation process, phase diagram, pitch diagram, and modeling of the optical behavior of cholesteric droplets (PDF)

Video S1 (AVI)
Video S2 (AVI)

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Notes
The authors declare no competing financial interest. Additional data related to this publication is available at the University of Cambridge data repository (http://dx.doi.org/10.17863/CAM.1233).

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